

REMARKS

Entry of the foregoing and favorable reconsideration and reexamination of the subject matter pursuant to and consistent with 37 C.F.R. § 1.112 is respectfully requested.

Applicant requests consideration of these additional remarks which supplement the response file on June 29, 1999. It will be demonstrated below that the current claims of record are clearly novel and unobvious over the cited prior art of record and should be taken into consideration by the Examiner.

It should be recalled that the present claims of record are directed to a method aiming at inhibiting the replication of an immunodeficiency retrovirus wherein 100% inhibition of the retrovirus in primary cultures of monocytes in the host is achieved using selected muramyl peptides.

It should be brought to the immediate attention of the Examiner that:

- (1) the claims of record encompass 100% inhibition of the retrovirus which is not disclosed in the prior art; and
- (2) this inhibition was demonstrated in primary cultures (cultures prepared directly from the tissues of an organism) of monocytes of the host, which is also not demonstrated in the cited prior art.

Applicant submits that the demonstration in the present invention of 100% inhibition of a retrovirus in primary cultures of monocytes is an extremely important aspect of the present invention that must be taken into

consideration by the Examiner in analyzing the prior art. This is because it is known in the art that the use of primary cultures of monocytes is a more scientifically sound *in vitro* system for testing drugs or medicaments for the inhibition of HIV-1 than in those cell lines disclosed in the prior art, as will be discussed more extensively below under the heading HIV-1 replication.

It should be emphasized, as will be discussed in greater detail below, that the cited prior art teaches the use of muramyl peptides for inhibiting HIV-1 infection using strains that are infected by T-Tropic HIV-1 strains. The prior art is silent with respect to the use of muramyl peptides for inhibiting immunodeficiency retroviruses in the presently claimed primary cultures of monocytes which are infected by M-Tropic HIV-1 strains.

HIV-1 REPLICATION

It is now known that HIV-1 needs to replicate in macrophages or dendritic cells prior to spreading to T lymphocytes. At the early stages of HIV-1 infection, shortly after seroconversion and during the asymptomatic period of AIDS, macrophage tropic or M-Tropic strains of the virus predominate.

In contrast, in the late stages of HIV-1 disease in association with CD4 T cell decline and progression to AIDS, T cell lines or T-Tropic strains of HIV-1 predominate.

The mechanism behind entry of HIV-1 gp120 at the different stages of HIV-1 disease is different. It is now known that besides binding to the CD4 receptor, interaction of the V3 loop in gp120 with a second receptor or coreceptor is required for gp120 to enter the cells. At the early stages of HIV-1 disease the co-receptor required for the gp120 to enter the macrophages

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HIV-1 REPLICATION

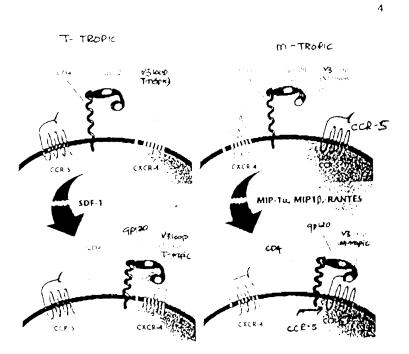
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901

was discovered to be the CCR-5 co-receptor. In contrast, in the late stages of the disease, the co-receptor required to enter the cells was discovered to be fusin or the CXCR-4 co-receptor. These receptors are different as can be seen schematically below:



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Both of the co-receptors were discovered to be chemokine receptors that belong to the family of G-coupled protein receptors, which have seven transmembrane regions. The fact that these receptors have seven transmembrane regions is important, since resistance to HIV-1 infection, including T cell depletion, was discovered in certain individuals bearing a mutant alle'e of the CCR-5 chemokine co-receptor.

This mutant CCR-5 co-receptor lacks the three transmembrane segments of the wild CCR-5 receptor and was unable to support membrane fusion by both the primary and dual-tropic virus env. Hence, it was concluded that homozygous individuals having this mutant CCR-5 receptor are highly resistant to HIV-1 infection.

The above supports the theory that the CCR-5 receptor plays a primary role in the replication of HIV-1 which replication then leads in the later stages of the disease to AIDS

Hence, it is more scientifically beneficial to find drugs that target the early stages of HIV-1 infection using the M-Tropic HIV-1 strain at an early stage of infection, thus either diminuating or preventing the total onset of AIDS. This even the more so since macrophages serve as a reservoir for the virus and this reservoir is less sensitive to antiretroviral effects than T-lymphocytes.

Therefore, in the present invention inhibition of the replication of HIV-1, in the presently claimed process was demonstrated in primary cultures of monocytes (monocytes are precursors to macrophages) which cultures are the scientific tools of choice to use in drug evaluation experiments for HIV-1 inhibition, as explained above.

In contrast the use of cell lines to test for drugs which inhibit HIV-1 is highly artificial and drugs that can inhibit T-Tropic HIV replication are not necessarily effective against replication of M-Tropic viruses in macrophages. This has been demonstrated by the fact that SDF-1 (Stromal cell derived factor), the ligand for CXCR-4, can inhibit virus entry into cell lines, but has absolutely no effect of preventing M-Tropic HIV-1 entry and infection in macrophages or primary T-lymphocytes.

981

Therefore, the fact that the Applicant has demonstrated 100% inhibition of a retrovirus in primary cultures of monocytes (M-Tropic HIV-1 strains) with the presently claimed muramyl peptides is an unexpected result which should distinguish clearly over the prior art of record where such a demonstration is not achieved. Rather the cited prior art teaches low inhibition of several muramyl peptides in cell lines which are T-Tropic strains of HIV-1.

These and other differences will be addressed in view of the issues brought to bear in the last Official Action.

35 U.S.C. §102(b)

The Examiner deems that Claims 14 to 21, 25, 26, 28 to 30 and 34 lack novelty in view of Schreck et al.

Furthermore, Claims 14 to 21, 25, 26, 28 to 30 and 34 lack novelty over Masihi et al.

Schreck et al.

Schreck et al. teach the use of muramyl peptides as adjuvants in potential vaccines against AIDS. By definition an adjuvant is an ingredient (as in a prescription or solution) that modifies the action of the principle ingredient. An adjuvant is not the active ingredient in a vaccine, as the skilled artisan well knows.

Furthermore, Schreck et al. disclose that it would be beneficial to select adjuvants that do not induce NF-kB activation and particularly if the

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vaccines are to be aimed at treating seropositive individuals, since it was believed that the activation of NF- κ B purportedly enhanced HIV-1 expression.

In fact, MDP (thr)-GDP was found to be the only lipophilic, nonpyrogenic adjuvant that demonstrated lack of NF-kB activation.

This teaching is apparent at page 188, 2nd column, lines 13 to 15 of Schreck et al.

Although two muramyl peptides, encompassed by the present claims were tested for NF-kB activation, it was discovered that in the human Mono-Mac-6 cell line NF-kB activation was apparent using murabutide and murametide as set forth in the sentence bridging column 1 and column 2 at page 190 of Schreck et al. Therefore, murametide and murabutide do not belong to the selected category of an adjuvant that could be foreseen for use with an AIDS vaccine.

Furthermore, it is apparent that there is no experimental evidence that the muramyl peptides utilized in Schreck et al. can inhibit the replication of immunodeficiency retroviruses. Thus, a skilled artian can conclude nothing about whether the muramyl peptides in Schreck et al. have any inhibitory properties.

Therefore, Applicant submits that since Schreck et al. fails to teach the use of the claimed muramyl peptides as an active ingredient in a process to inhibit immunodeficiency retroviruses and since the claimed muramyl peptides do not fall into the category of those being sought in Schreck et al., the presently claimed invention is not anticipated by Schreck et al.

Masihi et al.

Masihi et al. disclose that muramyl dipeptide can enhance monocyte/macrophage CSF in serum and promote nonspecific resistance against a variety of microbial pathogens including HIV infection of CD4⁺ H9 lymphocytes and U937 monocytic cells. However, this effect cannot be mediated by macrophage-CSF which itself has been shown to increase viral replication (see, Annex I, page 33, last paragraph, left column).

The Examiner refers to page 397 of Masihi et al. where murabutide was taught to be used as an adjuvant in human clinical trials. As discussed above, an adjuvant is solely used as a vehicle to modify the action of the active ingredient. Masihi et al. fails to teach that murabutide can be used in a process to treat immunodeficiency retroviruses directly.

Indeed, the cell lines used in the experiments in as the active ingredient in the manufacture of a medicament are H9, KE37/1 and U937 which are only infectable by T-Tropic HIV-1 strains. In contrast the present invention uses primary cultures of monocytes which are only infectable by M-Tropic HIV-1 strain. Thus, Masihi et al. disclose muramyl peptides for targeting the late stages of HIV-1, while the muramyl peptides in the process of the presently claimed invention target the early stage of HIV-1.

Therefore, in view of the above, Applicant submits that the presently claimed invention is not anticipated by Masihi et al.

35 U.S.C. §103(a)

Masihi et al.

Masihi et al. fail to teach the skilled artisan that murabutide can be used in a medicament as the active ingredient for inhibiting the replication of a retrovirus. Rather Masihi et al. teach the use of murabutide only as an adjuvant.

Furthermore, a skilled artisan would not extrapolate the results of a muramyl dipeptide disclosed in Masihi et al. to include all muramyl peptides, since as taught in Masihi et al. at page 189 under Reagents, different muramyl peptides have different properties.

Only if the Examiner deems that a skilled artisan would indeed extrapolate results from MDP to the rest of the muramyl peptides, Applicant would like to point out that Masihi et al. discloses only 67% reduction of the p24 antigen using MDP and only a 38% inhibition on day 14 using infected CD4* KE37/L lymphocytes and further teaches that 1000 µg/ml dosages were more effective.

Moreover, Figure 3 clearly demonstrates that less than 50% inhibition of p24 antigen using MDP at 1,000 μg/ml is achieved in U937 monocytic cells. This percentage inhibition cannot be compared to the 100% inhibition achieved by the claimed muramyl compounds of the present invention, which Applicant submits is an unexpected result.

Furthermore, Masihi et al. teach using 1000 µg/ml MDP which is an extremely high dosage and the side effects of MDP, including pyrogenecity and inflammatory reactions would be enormous at this particular dosage. This would discourage the skilled artisan to pursue a medicament using MDP.

Serial Number: 08/809,650

Finally, in Masihi et al., the cell lines in which the muramyl peptides were tested for inhibition of HIV-1 are T-Tropic HIV-1 strains. Masihi et al. is silent with respect to the testing of these compounds in M-Tropic HIV-1 strains which clearly distinguishes the presently claimed invention from this reference, as discussed above.

In other words, Masihi et al. teach that MDP can inhibit HIV-1 infection in the late stages of the disease. Masihi et al. does not disclose nor demonstrate that MDP or any other muramyl peptide for that matter can target the early stages of HIV-1 infection, which is the most important stage to target.

It should be clear that silence in a reference is not a proper basis to maintain an obviousness rejection.

From the foregoing, favorable action in the form of a Notice of Allowance is respectfully requested and earnestly solicited.

If the Examiner has any questions concerning this application, he is requested to contact the undersigned at (703) 205-8000 in the Washington, D.C. area.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees

Serial Number: 08/809,650

required under 37 C.F.R. § 1.16 or under 37 C.F.R. § 1.17; particularly, extension of time fees.

Respectfully submitted,

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Oren Cohen Augmy Kohen Anthony S. Filus Host factors in the pathogenesis of HIV disease

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Summary. Host factors plas an important mic to determining rates of discusse or ogression in human immuned elections, within (1(1)) intected and, withins (10) in able to subvert the host immune system by influency CD4. Teels that normally orthestate immune raspenses and ny inducting the secretion of prointlandinatory cytokines that the stress and intitle to its own requestive advantage. The incognition that certain chemokine receptors serve as necessary co-factors for HIV entry into its target cells as well as the fact that ligands for these receptors can modulate the efficiency of RIV infection has expanded the number and scope in host factors that may impact the individual of HIV disease. This area of investigation will no doubly yield hove, therapeutic strategies for intervention in HIV disease, however, caution is warranted in light of the enformous complexity in the pleastropic cytoking and sheep viscens.

EIV-infected long-term non-progressins represent an excellent motal to study potential host factors take year in EIV disease pathogenesis. Genetic factors certainly have a major impact on the immunite responses mounted by the host. In this regard, a polymorphism in the gene for the HIV co-receptor CC chemokine receptor 5 (CCRs), which serves as a correction representation against FIV infection in individuals homozygous for the genetic refers and some degree of projection against disease progression in TIV-infected heterotygous HIV specific minimum reviews as including visional. By methodic (CTL), responses and amortisting antibudy responses and appear to play saturary toris in printeeing against disease progression.

Introduction

The pathogenesis of human immunicethologicy virus (ett.) disease is complex and influenced by both viral and host factors (1). The multifactorial nature of H.V. disease pathogenesis is reflected by the lightly variable rates in disease progression that are observed in individuals infected with till. The importance of host factors in modificating rates. It is easier progression is further underscored by the observadion train even individuals who were apparently infected from a common score a package after which variable disease received to the common score and income will give variable disease recently proper asset of the first page of the factor game to the first page and score of this have been game tower as the complete size of the factors and

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of viral replication throughout the course of FIV infection (7-9) and have greatly expanded our understanding of the dynamics of HIV replication in vio. The remarkable consistence in quantitative estimates of the rate of turnover of plasma viruliar rates of disease progression. In this regard, future studies will need to increase whether the rate of viral turnover varies according to stage of disease or whether it is an intrinsic characteristic of HIV infection. In either case, it is necessary to invoke host factors in order to explain the great variability in rates of cinical disease progression.

A delicate balance among a wide array of hosciaciors tikely determines the net rate of viral replication in HIV-infected individuals. Subversion of the human immune system by HIV (i.e. infection of cells that are critical components of an intact immune system, induction of the secretion of proinflamma. tory cytokines, and utilization of these products of immune activation for the replicative advantage of the virus) usually tips the balance in favor of the virus. The recent discovery that cerain chemokine receptors (for example, CC chemokine receptor (CCR)3 CYC chemokine receptor (CXCR)+, CCR3, CCR26. STRL33, Bonzo, and BOB) are utilized by different strains of HIV as co-factors to gain entry into cells has greatly expanded the number of candidate host factors that may influence the pathogenesis of HIV disease (10-18). The ability of the chemokine ligands of these receptors to block HIV entry into target cells and thereby tip the balance of immune control over virus replication in favor of the host is a new concept in the field of HIV pathogenesis that has major implications for potential therapeutic intervention

Genetic factors may determine the outcome of interactions between strus and host in several ways. First, the hosts HIV specific immune responses are constrained by the individual's major histocompatibility complex [MHQ] alleles. In addition, the recently discovered genetic defect in the CCRS gene has a nuitor impact on susceptibility to HIV infection in individuals homozygous for the defect, and on disease progression in HIV-infected individuals hererozygous for the defect. HIV specific cellular and humoral immune responses likely play an important tole in the control of viral replication, although the precision research of protective immunity have not been established however recent studies have snown that qualitative as well as quantitative features of these immune responses has be important modulators of cisease progression.

expreciation of the role of most factors in the output godes of HIV inserse should lead to the design of movel the apparatus strategies. The goal of coping the balance in tax in a large of the control of the property of the polarity of th

trot over strip replication may appear to be simple, however the extraordinary complexity of manipulating frost factors to this end is fraught with many potential complications. The latter potentishinghi glited by the negative outcomes of thincar rails for bacterial sepais has targeted molecules the ight to be directly involved in the pathogenesis of sepais (for example hopopopsaccharide, in grieckin 110%), and author no troos tarter (TNEV-a) (19). The head to consider therapeutic options in the context of a balance between pro- and anti-inflammanosy mediators and one need to consider distail interactions in 4 configurations play pleiotropic cycokine network apply not only to sepais (20), but to HIV disease as well.

Cytokines and HIV disease, dysregulation of Lytokine production

A highly complex network of cytokines operates to regulate the immune system. This network is redundant and plenotropic, and operates in an autocrine and paracrine manner to simulate or suppress cellular proliferation and differentiation, and tomodulate immune function (2.). Chronic immune activation induced by HIV infection and associated opportunistic intercons results in dysregulation of the cytokine network. Many of the observed alterations in cytokine production contribute to HIV pathogenesis by turther samelating viral replication, suppressing the ability of the immune system to mount a strong antiviral response, and inducing cytokine-mediated cytopathic effects (1–22–21)

Similar to other infonce infections, HIV infection is associated with increased expression of proinflammatory cytokines, expecially carring the later stages of disease (2.). High meets of TNF-a, IL-13, and IL-5 are secreted by peripheral blood more nuclear cells (PBMC) (25-30) and macrophages (31-34) from HIV-infected singless TNF-a, IL-13, and IL-5 are also found a elevated levels in the security (35-40), cerebrospinal flows (4.-43), and tissues (44.49). High levels of expression or these cytokines, as well as interferon (HN), (16.47.50) at 11-13 (47.50), are particularly evolent in lymphoid (some action of SI). Second EIV replacation disoughout the course of disease (8, 9, \$1, \$2). Chronically activated and expended CD8. If yell 47.50, and macrophages. 21.54) are thought to be more contributors to the elevated choosing levels, observed it infended subjected subjects.

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HIV infection in site or after treating it with HIV proteins, such as envelope go, 20 and Tr. (24, 55-62)

Another major disruption in the cytokine pattern observed in HIV disease mea progressive sost in the ability to produce inimunoregulatory cytokines, such as IE-2 and IE-12 (63-66) IL 2 and IL-, 2 are critical for effective cell-mediated immune responses, as they stimulate proliferation and lytic activity of cytotoxic T lyinphocytes (CTU) and natural leffer (NK) rells These cell-mediated immune effectors represent the primary mechanism whereby most viral infections are cleared. In addition, IL-12 is essential for stimiliating the production of I helper (Th) I-type cytokines, including IL-2 and IFN-7, that favor the development of tell-mediated immune responses (67-69). While it is clear marche That limb of cellular immune responses is impaired during the course of HIV infection (65, 70-73), conditionersy surrounds the proposed dominance of Th2-like responses (i.e. secretion of 12-4, 11-5, and 11-10) durprogression of HIV disease. Clerici et al. showed that som-

progression of HIV disease. Clerici et al. showed that sumulated PBMC from HIV-infected patients exhibit a preferential Th2 pattern of cytokine secretion with disease progression (65, 70, 71, 74), however, other investigators have found a skewing of the cytokine secretion pattern of T cells from HIV-infected patients toward a Th0 state (i.e. secretion of cytokines characteristic of both Th1 and Th2 patterns) rather than toward a Th2 state (47, 72, 73). In either case, the finding that HIV replication is more efficient in Th0 compared to Th1 clones (72, 75) highlights the importance of impaired Th1 responses in the pathogenesis of HIV disease (76).

Effects of cytokines on HiV replication

The effects of cytokines on HIV replication were recognized in early studies wherein activated PBNIC (77), macrophages (55), and B cells (79) were shown to produce soluble factors that could dramatically upregulate HIV expression in acutely and chronically infected cells of the lymphocytic and macrophage lineages. These observations left to the identification of numerous cytokines that can directly influence HIV replication in infected cells (24, 24, 80) (Fig. 1).

Cytokines that have been reported to upregulate HIV repulsation in vitro include IL-18, IL-2, IL-3, IL 6, IL-7 (81), IL-12 (82, 83), IL-15 (82, 84), TNF-a, TNF-B, and the colony-stimulating factors (CSFV macrophage (M)-CSF and granulocyte macrophage (GM)-CSF (reviewed in (24)), IFN-a, IFN-B, and IL-16 (85, 86) are primarily suppressors of HIV production, whereas other cytokines, Sidh as IL-4 (87), IL-10, 38, 89, IL-(3, (87)), IFN y and TGF-B, reduce or enhance with replication depending on the infected cell type and the culture condition

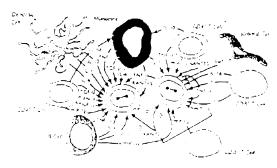


Fig. 1. Endogenous cytokines regulate viral replication in CD4-T cells. No merous cytokines, particularly the providaminatory choicenes 1 NF in IC-13, and II, ib, strongly upregulate viral replication. ToF 3 and II, io dissuregulate training and in in the cisc of IC-10, and entercisc in easi in particular to downregulation of promisimma or extrokines. The piche mokines, which are secreted by a varie is of cell to be including oD81 and CD35 monenaction resides, strongly limit to do to downregulation of the picture of the picket o

nons (22, 24, 80). Many cytokines, such as the interferons and TNF-a, can influence HIV replication in both T cells and mac rophages, while others, such as M-GSF, are cell theage-specific. The effects of a particular cytokine are often greatly influenced by the activity of other cytokines present in the microenviron ment. In this regard, certain cytokines have been demonstrated to act in a synergistic (88, 90, 91) or in an antagonistic (91, 93) manner with other cytokines in regulating HIV replication. Finally, cytokines are pleiotropic and the overall effects of a particular cytokine on HIV replication often reflect the balance of both HIV-inducing and HIV-inhibiting activities.

Promillamniatory cytokines, particularly INF in are considered the most potent HIV-inducing evtokings and their mechanism of action is relatively well understood. Both TM5 / and IL-13 activate the collisiar transcription factor nuclear layor (NF)xB [94, 95), a strong taducer of HIV long terminal repeat (LTR) -mediated transcription. Il -6 alone appears to increase HIV expression primarily by a post transcript onal mechanism. however, IL-6 can synergize with NFkB-inducing cytokines of enhance HIV transcription (92). The lain of improperous promitimmators sytokines in the regulation of HIV replication has been demonstrated in several delibrations whomas the production is EEV by macrophages or PBNC semidlated with ahi satagic inducers of promilamnusery, yeakin i production such as pacted at endormed or II. I have be partially or meanly commences apreciated by the antifrest of the probabilismination. concerns to the approximation of the commence in the ortaxis con consistents described by a confidence of the enterior unergo, rakus, que acur se bbio visa mura i la colorie l'ocknos-

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such as 11-10 and TOF 8 is attributable largely to their ability to inhibit the recience, or activity of HIV-inducing promphammatory cytokines (92, 93, 96, 99). HIV production by interted fixeds is conscious to both the anapromphammatory and the anapromphammatory anapromp

Archough the role of promilanimatory and antipromilain majory cytokines in the legillation of HIV replication in rise has not been detribunstrated conclusively, several lines of evidence suggest that these evickines may be involved in regulating viraproduction. Administration of pentoxifylline, an inhibitor of the secretion and activity of TNF, to HIV-intected individuals was found to reduce HIV viremia in concert with a reduction in plasma leve's of INF-a (100, 101). The role of proinflammajory cytokines in maintaining sleady-state levels of HIV repheation is suggested by the observation that in vivo influsion of a single boius of IL-10 to HIV-infected subjects resulted in a rapid and modest, albeit transient, decrease in plasma viremia (D. Weissman & A.S. Fauer, unpublished dara). The kinetics of HIV suppression in vivo correlated with a dramatic reduction in the ability of cells from these subjects to be induced in vitte to secrete TNF-11 and IL-13. Furthermore, IL-10 has been found to inhibit acute HIV intection in severe combined immunodeficiency (SCID) mice engrafted with human fetal thymus and liver (102) The ability of IL-10 to suppress T-cell activation and proliferation likely also plays a prominent role in its ability to suppress HIV replication in vivo (103-105).

in addition to the use of immenosuppressive cytokines which may depress HIV-inducing infinune responses, cytok ines which stimulate T cells or antigen-presenting cells have been administered to EUV-infected subjects for a number of years. The use of cytokine-based therapies aimed at finmune reconstitution in HIV disease has expanded over the past several years, particularly with the development of potent annietroviral therapies that limit the potential for cytokine mediated increases in viral tephcation. In this regard, adminiptration of IL 2 to asymptomatic HIV intected subjects receiving concommitant antifetroviral therapy revolts in significant and sestained Increases in CD4 : 7-call numbers with no long-term effects on viteima (106-132). Similar intinune reconstitution therapies are being proposed for 11.47 12 13, and 12 15 194 108-1 5 New studies are continuous to expand the list of evickings for ase as potential immunotrierapeutic agents. A partitional interesting cytokine-based intitutionherapeatic approach is suggested by a recent report demonstrating that transfection of a CD4 : Teell tine with DN Cenciatory one 13 fl arrino and form on the content cer's includity related to 1.1% effective. 850 IE convenient introduction of oils of this system appears

to be the initiational with size oranserspinon (85, 86). This effect may be due to the about. If the reasonable feedback values (716, 777). The contemporaries of IC-2 and IC to is a particularly action we option floor in a supergrammal remained the expansion of CD+1. There is No Paraga, personal communication.

In addition to the known that kinds mentioned previously, deveral enderance she file to the file to the difference demonstrated to exert dramme filty-modificates across to be remost among these is the emistre CD81 cell-derived filty suppressive factor(s) while lyad across to an important component of CD81 cell-mediated HIV suppression (1.8), delictree supernatants from cultures of accorate. CD81 cells and deliftines are able to dramatically inhibit HIV reputation to be an it ends and macrophages (1.9). CD8 annotes before the CD81 cells and ends and macrophages (1.9). CD8 annotes before the CD81 described by Walker et al. (120–121), is non-cytolytic, suppresses HIV replication in a non-MHC restricted manner at the lever of HIV LTR transcription (123–125), and lacks identity to known cytokines (126).

A distinct group of HIV-suppressive factors secreted by CD8+T cells was elentified by Coron, et al. (127). These invesagators attributed the HIV-suppressive activity of CD8+ cells to the combined activities of certain chemoattractant cytokines (i.e. chemokines), including macrophage inflammatory protein (MIP)-, a, MIP-13, and RANTES (Regulated upon Activanon, Normal Ticell Expressed and Secreted). An unexplained finding in the study by Courtiest at was that although the combination of the J chemokines MIP-1a, MIP-13, and RANTES potently suppressed the replication of several M-tropic HIV strains, they had virtually no effect on the replication of the Thiell line adapted (TCl-A) strain, HIV-1 IIIB. Soon after this report. Feng et al. describert the seven transmenibrane orphan receptor fusin, previously known as LESTR and HUMSTR and currently designated CXCR+ as a co-receptor for T-cell (1) cropic strains of HIV (13 1.6). In addition, three groups described a new chemosine received CORS, which bound MIP Tel MIP-13, and KINNTES as an natural against (128-130) the light of the previous risk to of Cocentral 2. The constituting also tion that alone was was for CCRS might hincoon as also receptor for Micropic strains of HIV. A series of papers from fish different (abormones elegants) demonstrated this to be the case (10-14). Themsking te smore than an teration is HIV to everythers are appared to be need to be believe process that proofs between the first multiplication and 14 31. The general postate distribus in ergonius mas Mictoria stratus of HV-1 chara propagation are do not better to copi in CCRS, and to the seriescentic CDP III for more large the macinal CDR a ligared NOPERA NEL ESTABLISMON DE ESTABLISMON DE LA COMPANION DE LA CO As war we the common of the process of the wards ATE

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employ the in Chemokine receptor's XCR is an inversetion than is blocked by the CXCR4 lighted stromal derived factor . (SDI-1) Many primary T-tropic HIV isolates exhibit a broad range of CCR usage, including CXCR4 and CCR4-(137, 133). The recent discoveries of other HIV co-receptors have already made obsolete the simplisor notion that CCRS and CXCR4 are the only important co-receptors for M- and T-trop & strains of FIIV, respectively (17, 18, 134)

Numerous cell types produce a variety of chemokines (135, 136), and modulation of the production of these factors may influence HIV replication in a strain-specific manner (Fig. 1). Theretore, the overall effect of immune activation and the secretion of proinflammatory or inimunoregulatory cytokthes on HIV replication must now be considered in the context of potential influences on chemokine production, chemokine co-receptor expression, and the predominant viral quasispecies that is replicating in vivo. Chemokine production, induced dur-Inflammation, is enhanced by several cytokines, including TNF-α, IL-β, and immunoregulatory cytokines, such as IL-2 and IL-15 (135, 137-139). Thus, in HIV-infected subjects in the early stages of disease, the ability of TNF-0, to stimulate B-chemokine production and thereby suppress M-tropic entry may override its HIV-inducing effects, however, in individuals harboring predominantly T-tropic quasispecies in the later stages of HIV disease, only the HIV-inducing activity of TNF- α would be influential. In fact, TNF-a-mediated induction of 3-chemokine secretion may actually enhance entry and replication of T-tropic strains of HIV (A. Kritter & A.S. Fauct, unpublished data) (Fig. 2).

Similarly, cytokines that modulate the expression of chemokine recepiors would be expected to exert variable strain-dependent effects on HIV replication and spread in this regard, IL-2 has been shown to upregulate the expression of the

The puzzling bottleneck in HIV transmission that so

heavily favors emergence of M tropic, non-syncytham-inducing (NSI) strains of virus in the new host (141, 142) may in part be due to the differential regulatory patterns of the relevant HIV co-receptors (140, 143). In this regard, CCRS expression is predominantly seen in previously activated, memory T cells (19 CD26mmCD45RAMCD45ROT), whereis CXCR4 expression is seen in naive, unactivated tells (i.e. CD26) mCD45RA1CD45RO1-It is therefore plausible that the protound degree of immune activation that occurs during acute HIV ratios, on may result in high expression of CCR3 and low expression of CCCR4. M Ostrowski & A.S. Fauci, unputersized data. Someony to infection with various other parting his may differentiate, and that expression of MAS co-receptors and therms exect selective pres-

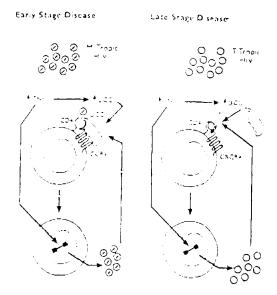


Fig. 3. Proinflammatory cytokines, such as TNF-a, have potentially dichotomonis effects on HIV replication. During the early stages of H.9 disease (left). Metropic strains of the virus predominare. Authorigh TNF-in can transcriptionally aprogulate HIV expression to insected cells, at the same time it induces expression of the Dichemisk new (JCC) RAN FES, NRP 14. and MIP. 3. These enemokines occupy the HIV correceptor CCRS, block ing entry of HIV into target cells. In contrast, Temple strains of HIV may predominate in the late stages of HIV disease (right). In this situation, the incuction of BCC by TNF-a cannot block I tropic HIV entry yta CXCR+ and in fact may enhance replication of Europic strains of HIV

sure on HIV strains that use the to recopnors in question (II Mortigent, M. Mortigen, & A.S. racco implimished data?

The observation that the natural Lyansis of CC chemoking receptors that are atthized as AIV to receptors act as potent inhibitors of viral entry has dramatically be sidened the known spectrum of HIV mubitory extraones and the mechanisms whereov they nullien of HIV regulation. Therapeonic aportournes currently being considered include administration or effectionations chemokines, chemokine untagonists that octable <u>HIV co-tecebiote</u>s maniora adakta adak i vikual otes and chemokines that are ingineered to the distribution over, to the control of the for a the effect of the approach to would depend in the continuous per the property of the continuous section and the continuous section of the c problem of the carry of the control of the compression and CBD MARTING SIGNAMENT CONTRACTOR OF THE ACTION MARTINES AND

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PBMC from asymptomatic HtV-infected individuals narboring predominantly M-tropic HIV strains (145), but not in PBMC from individuals with more advanced disease narboring predominantly Viropic P(V strains (146) (A. Kinter & A.S. Fauc., unpublished data). Similarly, HIV isolates obtained longitudi nally from infected individuals with rapid disease progression exhibit reduced sensitivity to inhibition by 3 chemokines in vitto over time (146, 147)

A caumonary note about these potential therapies coines from the known association of the transition from M-tropic-NSI to T-tropic/syncynum-inducing (SI) virtises with disease progression. The transition from an NSI to SI virus may occur by mustation of only a few amino acid residues predominants in the envelope V3 loop (148-155). The HIV envelope V3 loop has also been shown to be a major determinant of co-receptor usage (156). Given the error rate of viral reverse transcriptise and the rapid dynamics of viral replication, mutations in the HIV envelope gone that encode SI strains must appear very carly in disease, however, failure of such muranis tu emerge until lice tage of such a mutation during the course of disease progression. Because SI variants are able to use a broader range of entry co-receptors (for example, CXCR+) compared with NS: viruses, it is possible that SI variants emerge in response to high levels of \$\beta\$ chemokines that block cellular entry of viruses which unlike CCRS (i.e. predominantly NSI viruses) (132. (46, 147) This potential effect of \$\beta\$ chemokines should be investigated since the emergence of T-cropic HIV strains in vivo is associated with rapid CD4* Ticell decline and disease progression (157). Further caution is warranted in light of potental dichotomous effects of the p chemokines on HIV regimetion in different cell types (119, 158). The situation in vivo is tio doubt highly complex, and multiple host factors as well as regulatory aspects of co-receptor expression in different assue compariments likely determine the environment in water selection for NSI or SI variants is made (1, 140, 159)

While in size culture systems and cell line moders have allowed investigators to identify numerous host factors that influence HIV replication and to delineate the mechanisms whereby these factors suppress or enhance vital replication, is is difficult to anulipate how manipulation of these factors will uttimately influence HIV replication in vivo It is clear that hose factors function within the context of an interactive immuno regulatory cytokine network and can have pietotropic effects on HIV replication, some of which are viral stram-specific Neser theless, numerous host factors have proven or promising thumbed the rape of the potential that should be that the reaches at and pursued there is no the treatment of EEV discovery

Iromune activation

Within 6 income to 3 year following printary HIV integrand plasma streims appears to subject to a steam, state of set point that is a strong prognostic indicator of the rate of disease progression of Underlying this deceptively stable vice-ria is a high rate of sinus production and degrance (approximately 10)emone days #1-34 colon accoss produced by newly infected (Der Floris J. o). Thus, even during chinically asymptomicity stages of HIV infection, persistent virus production serves 4s a potent source of immune acayation and subsequent cytoking secretion, these activities, in turn, stimulate further viral replica-

in sino collinar activation is essential for productive EIV infection of CD+ Ticeds (161, 162), and agents that interfere with T-cell activation dramanically inhibit EUV replication in inese ceals (163, 164). The role of immune activation in sum claimy HIV reputation in vito is demonstrated by increases in viremia in HIV-injected individuals persistently or transiently in the disease process indicates a change in the selective advant graposed to exogenous immune stimuli. In this regard, HIVinfected habives of sub-Sanaran Africa, who experience persistent immune activation due to chronic exposure to parasites and other pathogens, harbor high viral loads associated with rapid progression of EUV disease (165, 166). Similarly, coinfection with apportunistic pathogens, such as active tuberculosis (167-170) or pheumocystis pheumopia (174), results in dramatic increases in levels of plasma HIV viteima that return to baseline upon successful treatment of the opportunistic infection (OI). The source of elevated virentia during OI was suggested by a recent study demonstrating that lymphoid tissue macrophages produce high levels of HIV in the setting of Ol (172<u>).</u>___

> Continuation of the role of immune sumulation in EUV reputation has been established in studies demonstrating than anmunization of EIV-interied subjects with influenza (1/3) or teranus foxoid (1771) antigens results in transient, bu sabstan tizi, increases in plastin viceinia Eurobermore PBMC from FUV ininfected sub-rets were rendered more susceptible as Elly infection, a virus following immunization with telacises on old (Car)

Langiterm non-prograssors, a model to study host luctors in the pathogenesis of HV disease

In recent control of as on the control that that in a small enterprise en Alverdance into the commentance of the engineers of and her to report every contributions of respect to the Definitions of any specific to the green on the expectation of

trary, however, a reasonable consensus definition incit desidoc umentation of HIV infection for more than 7 years, a CD4° I cell count greater than 600 celis/ac without significant decline over time, no symptoms of HIV induced disease, and no history of antiretroviral cherapy (184). Although a minority of cases of long-term non-progressive HIV infection may be associated with attenuated strains of HIV (185°-189), most data suggest that viral attenuation is rare among leng-term non-progressors, and that host factors play a dominant role in determining the state of non-progression (180), 181, 190–192).

Genetic factors

Host genetic factors influence the rate of disease progression in IIIV infection. A number of different mechanisms may be responsible for the observed associations between certain ELA haplotypes and different rates of HIV disease progression 3-.96). The apility of certain HLA molecules to efficiently present immunodominant viral epitopes in order to generate cell-mediated immune responses may explain an association with slow disease progression. Conversely, other HLA molecules may promote immunopathogenic responses associated with more rapid disease progression. In a recent study, HLA-B27, B\$7, and B\$1 were most strongly associated with slow progression of HIV disease, while HLA-A23, B37, and B+9 were associated with rapid progression (196). An HLA profile was developed that distinguished a 6-fold difference between rates of disease progression in rapid versus slow progressors. Other genetic factors linked to rates of HIV disease progression include allelic forms of the vitamin D-binding factor Gc (197), variant alleles of mannose-hinding lectin (198). and the TNF (2 microsatellite allele (199)

CCRS is a major co-receptor for M-tropic strains of HIV-1 above) (10-14). A mutant allele of the CCRS gene dia: contains an internal 32 base pair deletion resulting in a truncated protein (200-202) has a major impact on susceptibility to HIV infection and on rates of disease progression in HIV infected individuals. Homozygosity for the CCR5 instation results in near-total protection from HIV-1 infection (200, 202-207). Heterozygosity for the CCRS mutation results in decreased expression of CCR5 on the cell surface and reduced infectability of CD+1 cells with Mittopic strains of HIV 1 compared to CD4+ cells from CCRS wild-type individuals (208) Although heteroxy gosity for CCRS does not appear to afford protection against HIV-I infection in vivo, it may confer partial Protection against disease progression in HIV-intected incivideals (150, 202-10+ 200, 210), Protection against disease and gression in CCRS heteropygotes is due in part to the lower vital

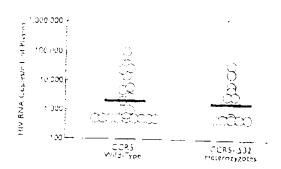


Fig. 3. Levels of plasma virentia are indistinguishable among HIV-infected long-term non-progressors stratified by CCRS genotype. Dark hars represent geometric titel is

Daid "set point" after HIV seroconversion and a slower rate of CD+ T-cell depletion compared with CCRs wild-type individuals (204).

Heterozygosity for the CCRS mutation is significantly more common in cohorts of HIV-infected long-term non-progressors compared to EIIV-infected control populations (159) 202, 209, 210). However, despite the fact that one frequency of CCRS heterozygotes is increased 2 fold among non-progres sors compared to HIV-infected controls, still fewer quan 50% of non-progressors are CCRS heteroxygotes (202, 209). The possibility that CCR5 heterozygotes might constitute a subgroup among non-progressors with the lowest viral loads and most preserved CD++ F-cell counts was investigated. Interestingly CCRS wild-type and heteroxygous long-term non progressors were indistinguishable with regard to multiple immunologic and virologic parameters of disease activity, 1097. Mean Da Treed counts were 910 cells/all among CCRs wild typ= non progressors and 885 cells/all among CCRS hotenozygous nonprogressors. Geometric mean levels of playing virginia were 2 104 HP RNA copies and among CCR I wild type non-progressors and 1,405 HIV RNA copressors among CCR5 her erozygods non-progressors (2019) (Fig. 3).

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We have proviously demonstrated that in contrast to talk-status with progressive disease. HIS intertest long term non-progressors maintain intact lymphoid (social in the entire 1860), However, a great deal of heterogenests a mong non-progressors is evident in the league of to a trial in perplasa and strat tripping within germinal centers (1860). When strated according to CRs gives the second to the intertext quies to descriptions were liquid and good are least to grant to take magnifications.

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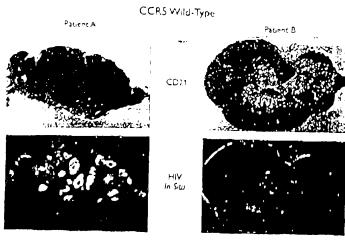
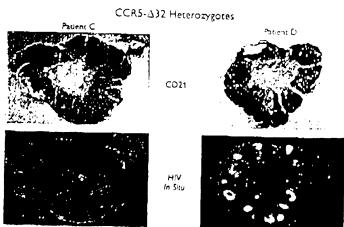


Fig. +. The degree of forbeular hyperplasia and the degree of virus trapping in symph node germinal centers are indistinguishable am ang HIV-infected long-term name progressors stratified by CCRS genotype. CDII samong sales h. أريب أورين والمراجع المناسلة فالأوطأ لاحتا germilla, routen, appliagen et plus ANA desentant of the control of the -Pomats is water grown a larger of maurographs Bedresemble so temp nodes from europe gress es a da sbundary and scan influential hyperplastic and views temporary on shown for each TOO for a chi-\danger mmg (2000)



Taken together, these data indicate that although CCRS hereozygotes have an increased chance of becoming non-progressors, HIV-infected CCRS wild type individuals may arrive at the same non-progressor phenois no by other mechanisms.

Host immune response

CT, responses

HIV-specific CTL play an important role in the control albeit incomplete, of HIV replication and spread (212, 213). High procursor frequencies of HIV-specific CTL with broad specificity have been consistently detected in long-term non-progressors compared to progressors (180, 21+217). Qualitative aspects of the HIV-specific CTL response are also important determinants of the articles of the CTL response in controlling viral population. Variational of CTL responses specific for

viral core proteins is associated with a differenced risk of disease progression (217, 218), this association does not appear to be true for CTL responses against other small protein. Recognition or a nationed ominant. CTT epilopes presented by particular VIHC class Calleles may result in potentiant. His activity (219) and may in part explain the association of certain MHC class I alleles with slower progression of HIV disease (9-496). Furthermore, the skewing of the found receptor via reperious in HIV-infected patients has suggested that the ability to receive an IIIV-specific CTL response composed of a heterogeneous group of V3 termines during primary injection is associated with beter control of viral replication of all emproved prognosis compared to mondifusion and expansion of 75 have only one or two V9 families (220) C (52), this two discrete problems of the σ the boat common metalances of the conserver. This appears to be a strategy for sure personance of a covered by or an artistal

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of tympholytic chortomicmagins error char rapidly and completely mobilize the host of Liresponse resulting in Clibexhaus tion (i.e. high cone tolerance) (22)). CIL exhaustion may occur to some degree in HIV intercent where disappearance of certain originally expanded CTL cionotypes can be demon strated in the apsence of viral escape mutations that night oth erwise explain the phenomenon (222)

Taken together, these observations argue against an immosnopathogenic role for CTL in FIIV disease (223) and in favor of a salutary role in the maintenance of low viral load and the state of non-progression. This inference is further supported by the demonstrated role of CTI in reducing levels of plasma viremia during primary HIV infection (224-715), and the association of progression to AIDS with late viral escape from a long-lived (9-12 years) immunodominant CTL response (226)

The host CTL response against HIV is constrained by the ability of the hoses MHC class Lalleles to bind to various viral popes, while the virus is constrained by the degree to which an escape mutation impairs vital fitness. These host-virus dynamics are extraordinarily complex given the large number of permutations of viral epitopes and MHC class falleles. Viral mutations within CTL recognition epitopes (i.e. "escape mutants") are associated with increased levels of viral replication and progression of HIV disease (226-229). Viral escape mutants may thrive due to the release of CTL control over their replication and may also inhibit CTL responses against the preescape viral epitope (230, 231). However, certain viral escape mutations may be costly to vital fitness. In this regard, it has been reported that diffuse infiltrative CD8 lymphocytosis in HIV infection was associated with certain HLA types that apparently constrain evolution of virul sequence diversity in the envelope V3 loop (23?). Other studies have highlighted the constraints on the nost CTL response imposed by MHC class ! Yes. It has been reported that in an HIV-infected individual CTL clones specific for an HLA-R-4 restricted epitope of gp+. displayed very innuted disersity of T-cell receptor outhration (233) Furthermore limited plasmenty of certain CTL responses in individuals with viral escape mutants, where the dominant CTL response may remain largely directed at the pre-escape viral epitope, has been demons rated (23+, 235). The possibimy that increased plasticity of the CTL response may allow the host to maintain more community and effective control over viral replication was suggested by studies demonstrating increased viral sequence tiversity and generation of vigorous escape manum-specific CIE ensponses in slow progressors (236-237) Amadiemand order stoffl virus dynamics has peen proposed to a describe a fire the progression is a result of viral sequence variation that the apies an immunodom nanc CTL

response and still is the host response lowards a woaker opnobe (233) Thus, disease progression may be the result of fitness of viril escape matants outpaining the pulsed ty or the host CTL response and slow progression have made result of CTI plays ficity overpowering viral escape marains some finited fibress 5 3 54

Foliate Horsaury respondings

Michigal soluble factors elaborated by CD81 Citel's may also plas a role in non-progression of HeV lofection. CAF, first described by Walker et al. (179-127), is non-cycolynic and non-MHC-restricted, inhibits vital replication at the level of EIV ITS transcription (123-125), and (2008) dentity to known eytokines (126). CAF activity was tound to correlate with stage of disease (239) tower CDB+ Ticels from asymptomatic patients without significant CD4 - I tell deplenon were required to suppress viral replication in vira contipated to CD3: Ticells from patients with advanced stage EIV disease. Studies of long term non-progressors have lemonstrated more potent CD8_Tresprigatored soluble authoral techouses confinated with progressors (181, 210)

RANTES MIP-16, and MIP-19 are uso important and siral soluble factors secretod by CD8. Ticells as well as other cell types (127) (43). These themoxines are natural ligands for the chempking receptor and Natropic HIV as megator CCRS and whibit viral replication primarily as the level of coll garry Conflicting data have been obtained regarding a relationship between levels of these chemokines and progression of HIV disease [182] 240-245). These conflicting data are not surprise my since they came from scudies or sera or summated PBMC. A researcepart does, however, support a possible tole for the CC chemoxines in the protection of some exposed inintected indi- Lias against HIV infection. Upon standation with HIV and gens, CD41 Ticells from these individuals secreted high-levels of the chamokines that were capable of introking the replication of Nettopia straigs of ALV in the 1465. Trumately levels or expression of these chemisteries in two obout fixing the promarks size of HIV replication of every may be for most relevant. 277 \$1-X100

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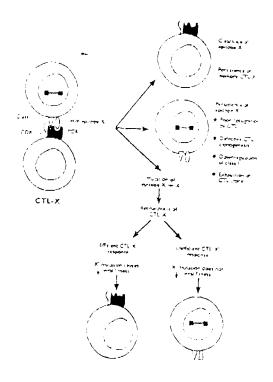


Fig. 5. The possible outcomes following CTL recognition of an HIV epitope are complex. An efficient CTL response against epitope X may result in clearance of the epitope and persistence of memory CTL (top right). Persistence of the viral epitope may be the result of a variety of factors, including poor recognition of the epitope by CTL, detective elonogenic potential of the CTL downregulation of HLA class I molecules by viral proteins, and/or exhaustion of the CTL slone (middle right). A terminely a CTL escape mitation may occur in the epitope (bottom right). The outcome in this struction depends both on the relative efficiency of the CTL response directed against the escape matant as well as on the relative cost of the mitation to viral fitness.

receptors may occur by virtue of genetic polymorphisms (i.e. CCRS A32) or by downregulation of their messenger RNAs or protein products (248). Alternatively, apregulation of the natural against of the HIV co-receptors may present HIV access to functional co receptors and diereby limit intercion of target cells. However it is important to appreciate other consequences of occupancy of HIV co-receptors by their natural ligands. As noted above, high concentrations of RANTES, MIP (iii) and MIP-13 may inhibit entry and replication of Mi-tropic strains of HIV, however, they may also represent a selective pressure by the host immunity system that may favor the emergence of 7 tropic strains of HIV Ligation of COR5 may also result a latter cellular segnating events that may a train, enhance represent an of Fitropic strains of HIV 1A Kinter X VS Fauch, apparished

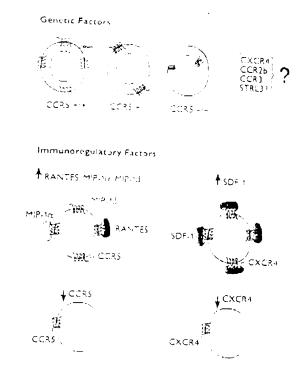


Fig. 8. Genetic as well as immunoregulatory factors govern the availability of functional HIV co-receptors. The CCRS-A22 allete encodes a molecule that does not function as an AIV co-receptor individuals who are homoregous to this mutantiallele are alloyded mear-complete protected against SIA infection, whereas heterory gives are partially protected against to ease progression. Polymorphisms in other co-receptor genes with tall any he found to correlate with rates at disease progression. Though among in the co-receptor lightly this as the case of 1285, and 806-1 in the vaso of TNCR41 and/or downing utation in the co-receptors themselves may also light, the availability of the control of the salability of the control of the salability of the control of the control of the salability of the control of the control of the salability of the control of the control of the salability of the control of the control of the salability of the control of the control of the salability of the control of the control of the salability of the control of the control of the salability of the control of the control of the salability of the salability of the control of the salability of

data). These possibilities serve as a familionary note to theraper ad strategies that empty. The investmes or their imaginary (144). Finally, 1. To has been reported to be a so uple antism of factor (249). However, 1 to analysis, provided Refer production and disease progressors formation to be established at 50, 245).

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The realization because in the continuous responses to all and disease progressed or all is a certain (250). Note progressive HIV interface in the progressive HIV interface in the progressive HIV interface in the progressive HIV interface of the HIV in

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or behavalizing authorates (187), 87, 753). It has been reported that the presence of ATV ATB neutralizing and modes correlated with a more tavelable prognesss (254). Subsequent studies demonstrated that the presence of neutralizing anti-holdies to primary HIV isolates and to autologous virus was assoc. ared with non-progression (255, 255). Eurthermore, viral escape from neutralizing autonocy tesp tases is associated with emergence of the St phenotype of 10% and with discose progression (255, 257). HIV-infected long term non-progressors tend to maintain antibody responses that can neutralize a broad panel of primary isolates and also maintain neutralizing ann-. bodies against autologous virus isolaies, however, nori-progressors are a heterogeneous group with regard to these neutralizing antibody responses (253-255). Whether the maintenance of neutralizing antibodies in non-progressors is simply a marker for a relatively indecemment system or whether these anabodies play an active role in determining the state on nongression remains unclear

Eymphoid tissue substrate for particle competence. The morphologic abnormalities of lymphoid tissue associated with HIV disease progression are important determinants of immunodeficiency (8, 258-26+). Despite the long period of HIV infection in long-term non-progressors, histopathologic

examination of symps done puspites from these ardividuals reverled only mild HiV-related shortmenties, such as holice for risperplasia (60, 2...) malicular ravolution, phrons and lyminiocyte depletion, associated with progressive Fift disease were found to be lacking in which hodes from non progress vers. The degree of following hyperplasia seen in non-jer yees. ons is significantly low or every above that were in progressor. and quantatively distinct as well, we mouse, idence of large gengraphic germinal centers extending into the nodel metal is (180, 201). It is likely that preservation of symphoid archive. ture in non-progressors is a reflection of the lower length of could replication over time, in these individuals. Regardless of the mechanisms responsible for lower levels of viral representation in non-progressors, preservation of lymphoid usage archivecture is a critical component of the immunocompetence observed This further nightlights the need to understand the mechanisms responsible for the destruction of lympho d architecture star ing progression of HIV disease. If immunorestorative strategies in advanced EIIV intection are to be successful, substrate for the generation of immune responses the anacolymphoid tissue, must be present, necessitating the prevention or reversal of the histopathologic abnormalities of lymphora tissue associated with HIV disease progression

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